# **Substitution and Elimination Reactions:**

Substitution rxn - replacement of another atom/group

**Elimination rxn -** e- withdraw and 1 H from an adjacent C is eliminated, double bond forms

Leaving Group - atom or group that is replaced/eliminatedThe Halide is a good leaving group from an alkyl halide

Alkyl Halide Importance - Due to a polar bond in a C - X bond

<u>S<sub>N</sub>2:</u>



S<sub>N</sub>2 Reactions: substitution, nucleophilic, bimolecular

## nucleophile & alkyl halide

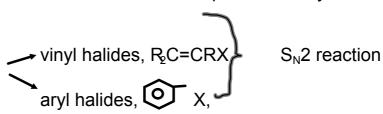
- nucleophile reacts with the alkyl halide from a direction opposite the group that is being displaced (the leaving group)
- as the nucleophile comes in on one side of the substrate and bonds to the carbon, the halide departs from the other side, thereby inverting the stereochemical configuration

ex. reaction of (S)- 2- bromobutane + OH --> (R) -2 - butanol

- the  $S_{\!\scriptscriptstyle N}2$  reaction occurs in a single step that involves both the alkyl halidænd the nucleophile

## **CHARACTERISTICS of Reactants in an SN2 reaction:**

- 1) The Substrate: steric effects
  - alkyl branching at the reacting center slows the reaction greatly
  - normally useful only with methyl halides
     primary halides
     few simple secondary halides



# 2) The nucleophile:

- any species, either neutral or negatively charged, can act as a nucleophile as long as it has an unshared pair of electrons (Lewis Base)
- If the nucleophile is neutral, the product is positively charged
- If the nucleophile is negatively charged, the product is neutral

Nu: + R-Y --> R-Nu + 
$$\dot{Y}$$

Nu: + R-Y --> R-Nu +  $\dot{Y}$ 

ex. R-C  $\dot{=}$   $\dot{C}$ : + CH<sub>3</sub>Br -->

# Nucleophile:

either neutral or negatively charged as long as it has an unshared pair of electrons (Lewis Base)

$$H_2O < CH_3CO_2^{-1} < NH_3 < CI^{-1} < OH^{-1} < CH_3O^{-1} < I^{-1} < CN^{-1} < HS^{-1}$$

- Some trends can be detected:
  - a) nucleophilicity roughly parallel basicity: the more basic the species the more nucleophilic it is.
  - b) nucleophilicity usually increases going down a column of the periodic table.

thus, HS is more nucleophilic than HO and I > Br > CI

- c) negatively charged nucleophiles are usually more reactive than neutral ones. As a result, \$2 reactions are often carried out under basic conditions rather than neutral or acidic conditions
- 1) What product would you expect from \$2 reaction of 1-bromobutane with each of the following?
  - a) Nal b) KOH c) HC **⊆**CLi
- 2) Which substance in each of the following pairs is more reactive as a nucleophile?
  - a)  $(CH_3)_2N^-$  or  $(CH_3)_2NH$
  - b)  $(CH_3)_3B$  or  $(CH_3)_3N$

# **Leaving Group:**

The greater the extent of charge stabilization by the leaving group, the lower the energy of the transition state and the more rapid the reaction

$$OH^{-1} < NH_2 < OR^{-1} < F^{-1} < CI^{-1} < Br^{-1} < I^{-1} < TosO^{-1}$$

# **Nucleophilicity:**

Nu: how easy is it to get into the alkyl halide (how fast it can attack an e- deficient atom)

- in a protic solvent: I > OH- > F-
- in an aprotic solvent
  - basicity and nucleophilicity correlate

$$OH - > F - > I -$$

# Factors that Affect Nucleophilicity:

1) Negative charge: anions are stronger than their corresponding conjugate acids

2) Basicity: a) within a family, it increases with polarizability not basicity

b) within a row, it increases with basicity

3) Size: the bulkier the nucleophile, the lower its nucleophilicity

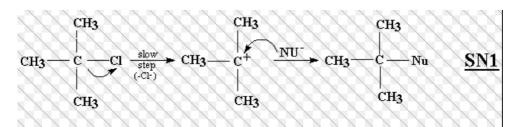
$$CH_3CH_2O^- > (CH_3)_2CHO^- > (CH_3)_3CO^-$$

# $S_N2$ :

- prefers 1 o or 2 o
- rate = k[substrate][Nu]
- 1 step
- requires good nucleophile
- inversion of configuration (R ---> S or S ---> R)
- good leaving group
- prefers polar aprotic solvent
- no possible rearrangement

## S<sub>N</sub>1 reaction:

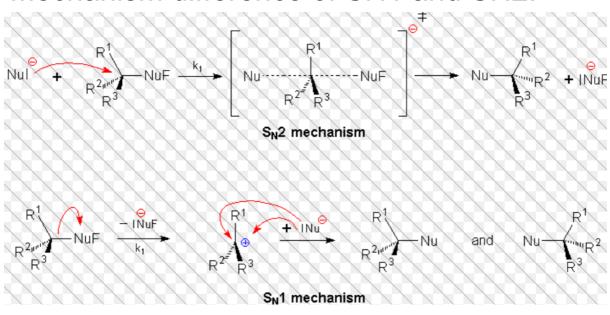




#### Characteristics:

- 1) Substrate: the best substrate yield the most stable carbocations. As a result, S<sub>N</sub>1 reactions are best for tertiary, allylic, and benzylic halides
  - 2) Leaving group: good leaving groups increase the reaction rate by lowering the energy level of the transition state for carbocation formation.
  - 3) Nucleophile: cothe entrepophile and be not affect the reaction rate. Neutral nucleophiles work well.
- 4) Solvent: Polar solvents stabilize the carbocation intermediate by solvation, thereby increasing the reaction rate

# Mechanism difference of SN1 and SN2:



SN2 vs SN1

- Both alkyl halide and nucleophile conc affect rate
- 2) Better nucleophile = faster rxn
- 3) Product = asymmetric alkyl halide with opposite config.

- Only alkyl halide conc effects rate
- Nucleophile strengthDOES NOT MATTER
- 3) Product = racemic alkyl halide

# **Elimination Reactions:**

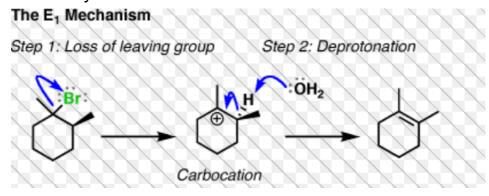
- give alkene products
- Zaitsev's Rule

base induced elimination reactions generally give the more stable alkene product (the one with the more alkyl substituents on the double-bond carbons)

ex. 2-bromo-2-methylbutane ---> 70% + 30%

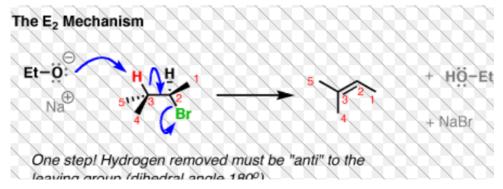
#### E1:

 the C-X bond breaks first to give a carbocation intermediate that undergoes subsequent base abstraction of H<sup>+</sup> to yield an alkene.



#### E2:

- based induced C-H bond cleavage is simultaneous with C-X bond cleavage, giving the alkene in a single step.



ex. What product would you expect from the reaction of 1-chloro-1-methylcyclohexane with KOH in ethanol?

(Locate the hydrogen atoms on each carbon next to the leaving group - beta hydrogens)

- ex 2: Ignoring double-bond stereochemistry, what products would you expect from elimination reactions of the following alkyl halides? Which will be the major product in each case?
  - a) 3-bromo-2-methylpentane

b) 3-chloro-2,3,5-trimethylhexane

c) 1-bromoethylcyclohexane

- ex. 3: What alkyl halides might the following alkenes have been made from?
  - a) 3,6-dimethyl-1-heptene

b) 3,4-dimethylcyclohexene

<u>E2</u> <u>E</u>	1
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strong base independent of strength of base

 $3^{\circ} > 2^{\circ}$   $3^{\circ} > 2^{\circ}$ 

usually no rxn with 1º no rxn with 1º

leaving group: I > Br > Cl > F- leaving group: I > Br > Cl > F-

more stable alkene formed more stable alkene formed

polar aprotic solvent best polar protic solvent

higher temp favored (over  $S_N 2$ ) higher temp favored (over  $S_N 1$ )

 $\underline{S}_{N}2_{\underline{!}}$   $\underline{S}_{N}1$ 

good nucleophile independent of strength of nucleophile

no rxn with 3 no rxn with methyl or 1

inversion racemic mixture

leaving group: I > Br > Cl > F leaving group: I > Br > Cl > F

#### E1 reaction:

- Just as the E2 reaction is analogous to the  $S_{\text{\tiny N}}2$  reaction, the  $S_{\text{\tiny N}}1$  has a close analog called the E1 reaction
- unimolecular
- two steps are involved
  - the first is the rate determining step and a carbocation intermediate is present
  - the second step is the loss of H<sup>+</sup> from an adjacent carbon rather than by substitution.
  - E1 and S<sub>N</sub>1 reactions normally occur together whenever
     an alkyl halide is treated in a protic solvent with a nonbasic
     nucleophile. Thus, the best E1 substrates are also the best
     S<sub>N</sub>1 substrates, and mixtures of substitution and elimination
     products are usually obtained.

H<sub>2</sub>O, ethanol ex. 2-chloro-2-methylpropane -----> 2-methyl-2-propanol (64%)

#### Some Trends:

- 1) Primary alkyl halides:
  - S<sub>N</sub>2 substitution occurs if a good nucleophile is used, E2 elimination occurs if a strong base is used.
- 2) Secondary alkyl halides:
  - S<sub>N</sub>2 substitution occurs if a weakly basic nucleophile is used in a polar a protic solvent, E2 elimination predominates if a strong base is used. Secondary allylic and benzylic alkyl halides can also undergo S<sub>N</sub>1 and E1 reactions if a weakly basic nucleophile is used in a protic solvent.
- 3) Tertiary alkyl halides:
  - E2 elimination occurs when a base is used, but S₁1
     substitution and E1 elimination occur together
     under neutral conditions, such as in pure ethanol or
     water.

#### Substitution vs Elimination

- 1) Strong bases/nucleophiles force the reaction into second-order reactions. Thus, with strong bases and nucleophiles (such as OH-), you get S<sub>N</sub>2 or E2 reactions or both. With weak bases/nucleophiles, you more often get first-order products (those produced by either S<sub>N</sub>1 or E1 reactions).
- 2) Reactions of primary substrates generally proceed via  $S_N2$  reactions (methyl substrates always proceed by  $S_N2$ ). When very strong bases/nucleophiles are used with primary substrates, you get a mixture of both  $S_N2$  and E2 reactions.
- 3) Reactions of tertiary substrates produce E1 and S<sub>N</sub>1 reactions with weak bases/nucleophiles plus a protic solvent; with strong bases, reactions of tertiary substrate produce E2 reactions.
- 4) The reactions of secondary substrates are the hardest to predict. Under the right conditions, secondary substrates can undergo reactions by all four mechanisms. Weak bases/nucleophiles plus a protic solvent will typically give you a mixture of E1 and \$1 products; strong bases/strong nucleophiles will typically give you a mixture of E2 and \$N2 products.
- 5) Spotting nucleophiles that are not basic will help you distinguish substitution from elimination reactions. For example, the halides (I-, CI-, Br-) and thiols (R-SH) are nucleophiles but not terribly basic. The reactions of these molecules typically proceed exclusively by substitution. T-butoxide ( (CH<sub>3</sub>)<sub>3</sub>CO-), on the other hand, is a poor nucleophile but a powerful base, and almost exclusively force the reaction to go via an E2 elimination.

# Tell whether each of the following reactions is likely to be $S_N 1$ , $S_N 2$ , E1, E2 and predict the product for each:

- 1) chlorocyclopentane with sodium methoxide and methanol
- 2) 1-bromo-1-phenylethane with methanoic acid and water

Tell whether each of the following reactions is likely to be 
$$S_N1$$
,  $S_N2$ , E1, E2:

## Synthesizing Ethers:

R-Br + RO
$$^{-}$$
 --> R - O - R + Br   
2ROH + 2Na --> 2Na $^{+}$  + H<sub>2</sub>

Williamson Ether synthesis

- nucleophilic substitution
- need high conc. of good nucleophile
- $S_N 2 rxn (1 step)$
- ex. butyl propyl ether

ex. t-butyl ethyl ether

a) 
$$CH_3CH_2Br + (CH_3)_3CO^- -->$$

b) 
$$(CH_3)_3CBr + CH_3CH_2O^- -->$$

(E2 would predominate)

- The less hindered alkyl group is provided by the alkyl halide and the more hindered alkyl group comes from the alkoxide ion.

- 1) What product would you expect from  $S_{N}2$  reaction of 1-bromobutane with each of the following?
- a) Nal b) KOH c) HC CLi d) NH<sub>3</sub>

- 2) Which substance in each of the following pairs is more reactive as a nucleophile?
  - a)  $(CH_3)_2N^-$  or  $(CH_3)_2NH$
  - b)  $(CH_3)_3B$  or  $(CH_3)_3N$
  - c) H<sub>2</sub>O or H<sub>2</sub>S
- 3) Rank the following compounds in order of their expected reactivity toward  $$S_{\tiny N}$2\ reaction$

CH<sub>3</sub>Br, CH<sub>3</sub>OTos, (CH<sub>3</sub>)<sub>3</sub>CCl, (CH<sub>3</sub>)<sub>2</sub>CHCl